# Decidability of the Bernays–Schönfinkel Class of Gödel Logics<sup>1</sup>

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## Outline

- Gödel logics
- Semantic Core: Skolemization
- BS class
- 4 1-satisfibility: Gluing Lemma
- Conclusion

#### Motivation

- Classical first-order logic: every formula can be written in **prenex** form, satisfiability/validity is not decidable for prenex fragments.
- Bernays–Schönfinkel (BS) class (1928): class of function-free, quantifier prefix sentences with prefixes  $\exists \bar{x} \forall \bar{y} A(\bar{x}, \bar{y})$  (satisfiability) and  $\forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y})$  (validity).
- Classical & Intuitionistic logic: BS class is decidable.
- Question: Is the BS class decidable in Gödel logics?

# History of Gödel Logics

- 1932–33 Gödel: Introduced intermediate logics  $G_n$ . Proved existence of infinitely many logics between classical and intuitionistic.
- 1959 Dummett's Infinite-Valued Gödel Logic: Replaced finite chains by the full [0,1] interval, adding the linearity axiom  $(A \to B) \lor (B \to A)$ ;
- 1991 Avron's Hypersequent Calculus with a communication rule capturing linearity proof-theoretically.
- 1998 Hájek's t-Norm Logics: Unified Gödel, Łukasiewicz, and Product logics into the t-norm-based fuzzy-logic framework.
- Since 1990s Viennese School: Baaz, Ciabattoni, Metcalfe, Olivetti, Pichler, Zach et al. deepened proof theory, fragments, Kripke semantics, and complexity analysis.
- And many more...



# First-order Gödel Logics

- Many-valued logics  $G_V$  with  $V \subseteq [0,1]$ , containing 0 and 1.
- Evaluation  $(\neg A = A \rightarrow \bot)$ :

(1) 
$$\mathcal{I}(\perp) = 0$$

$$(2) \quad \mathcal{I}(A \wedge B) = \min\{\mathcal{I}(A), \mathcal{I}(B)\}$$

$$(3) \quad \mathcal{I}(A \vee B) = \max\{\mathcal{I}(A), \mathcal{I}(B)\}$$

(4) 
$$\mathcal{I}(A \supset B) = \begin{cases} \mathcal{I}(B) & \text{if } \mathcal{I}(A) > \mathcal{I}(B), \\ 1 & \text{if } \mathcal{I}(A) \leq \mathcal{I}(B). \end{cases}$$

(5) 
$$\mathcal{I}(\forall x A(x)) = \inf{\{\mathcal{I}(A(u)) | u \in U_{\mathcal{I}}\}}$$

(6) 
$$\mathcal{I}(\exists x A(x)) = \sup\{\mathcal{I}(A(u)) \ u \in U_{\mathcal{I}}\}\$$

$$\mathcal{I}(\triangle A) = \begin{cases} 1 & \text{if } \mathcal{I}(A) = 1, \\ 0 & \text{otherwise} \end{cases}$$
 Absoluteness operator



## Negation

This yields the following definition of the semantics of  $\neg$ :

$$\mathcal{I}(\neg A) = egin{cases} 0 & ext{if } \mathcal{I}(A) > 0 \ 1 & ext{otherwise} \end{cases}$$

## Takeuti's observation

#### Gödel implication

$$\mathcal{I}(A \to B) = egin{cases} \mathcal{I}(B) & \text{if } \mathcal{I}(A) > \mathcal{I}(B) \\ 1 & \text{if } \mathcal{I}(A) \leq \mathcal{I}(B). \end{cases}$$

is the only one satisfying:

- $\mathcal{I}(A) \leq \mathcal{I}(B) \Leftrightarrow \mathcal{I}(A \to B) = 1$
- $\bullet \ \Pi \cup \{A\} \models B \Leftrightarrow \Pi \models A \to B$
- $\Pi \models B \Rightarrow \min\{\mathcal{I}(A) : A \in \Pi\} \leq \mathcal{I}(B)$ (and if  $\Pi = \emptyset \Rightarrow 1 \leq \mathcal{I}(B)$ )



# **Key Concepts**

#### Definition (1-entailment)

For a truth value set V, a (possibly infinite) set  $\Gamma$  of formulas (1-)entails a formula A if the interpretation  $\mathcal I$  on V of A is 1 in case the interpretations of all formulas in  $\Gamma$  are 1, i.e.,

$$\Gamma \Vdash_{V} A \Longleftrightarrow (\forall \mathcal{I}, \forall B \in \Gamma : \mathcal{I}(B) = 1) \rightarrow \mathcal{I}(A) = 1.$$

- Validity: formula evaluates to 1 in all interpretations.
- 1-satisfiability: some interpretation assigns 1.
- Validity and unsatisfiability are **not dual** in Gödel logic, e.g.  $A \vee \neg A$  is not valid but its negation is unsatisfiable.
- Depends only on the relative ordering and the topological type of the truth set, and not on their specific values.



# Definition of the logic

$$\mathbf{G}_V = \{A : \forall v \text{ into } V : v(A) = 1\}$$

#### Examples

$$V = \{0, 1\} \qquad \rightarrow \mathbf{G}_{V} = CPL$$

$$V_{1} = \{0, 1/2, 1\}, V_{2} = \{0, 1/3, 1\} \qquad \rightarrow \mathbf{G}_{V_{1}} = \mathbf{G}_{V_{2}}$$

$$V_{\uparrow} = \{1 - 1/n : n \ge 1\} \cup \{1\} \qquad \rightarrow \mathbf{G}_{V_{\uparrow}} = \mathbf{G}_{\uparrow}$$

$$V_{\downarrow} = \{1/n : n \ge 1\} \cup \{0\} \qquad \rightarrow \mathbf{G}_{V_{\downarrow}} = \mathbf{G}_{\downarrow}$$

$$V_{m} = \{1\} \cup \{1 - 1/k : 1 \ge k \ge m - 1\} \rightarrow \mathbf{G}_{V_{m}} = \mathbf{G}_{m}$$

# Why Define a Logic by Its Valid Sentences?

**Issue:** Different value-sets  $V \subseteq [0,1]$  can induce the *same* Gödel logic  $G_V$ .

e.g.

$$V_{\uparrow} = \{ 1 - \frac{1}{n} : n \ge 1 \} \cup \{ 1 \} \text{ and } V_{\uparrow}' = \{ 1 - \frac{2}{n} : n \ge 1 \} \cup \{ 1 \}$$

both yield  $G_{\uparrow}$ .

- In fact, there are *uncountably many* closed subsets of [0, 1] but only *countably many* distinct propositional Gödel logics.
- This ensures a one-to-one correspondence between:

 $\{\text{distinct G\"{o}del logics}\} \longleftrightarrow \{\text{sets of formulas } G_V\}.$ 



# First-Order Gödel logics

$$\begin{split} V_{[0,1]} &= [0,1] \longrightarrow \mathsf{G}_{[0,1]} \\ V_{\downarrow} &= \{0\} \cup \{1/k: k \geq 1\} \longrightarrow \mathsf{G}_{\downarrow}, \\ V_{\uparrow} &= \{1\} \cup \{1-1/k: k \geq 1\} \longrightarrow \mathsf{G}_{\uparrow} \\ V_m &= \{1\} \cup \{1-1/k: 1 \geq k \geq m-1\} \longrightarrow \mathsf{G}_m \\ \end{split}$$
 
$$(Lin) \quad (A \rightarrow B) \vee (B \rightarrow A) \\ (CD) \quad \forall x (A(x) \vee B) \supset (\forall x A(x) \vee B) \\ (Iso_0) \quad \forall x \neg \neg A(x) \supset \neg \neg \forall x A(x) \\ (Iso_1) \quad \Delta \exists x A(x) \rightarrow \exists x \Delta A(x) \\ (Fin) \quad (\top \supset A_1) \vee (A_1 \supset A_2) \vee \cdots \vee (A_{m-1} \supset \bot) \end{split}$$

# Relationships between first-order Gödel logics

## Proposition 1

Whenever  $V \subseteq V'$  then  $G_{V'} \subseteq G_V$ .

## Proposition 2 (Baaz, Leitsch, Zach).

The following containment relationships hold:

- 1)  $G_m \supseteq G_{m+1}$
- 2)  $G_m \supseteq G_{\uparrow} \supseteq G_{[0,1]}$
- 3)  $G_m \supseteq G_{\downarrow} \supseteq G_{[0,1]}$
- 4)  $G_{[0,1]} = \bigcap_{V} G_{V}$
- 5)  $G_{\uparrow} = \bigcap_{m \geq 2} G_m$ .

# **Prenex Fragments**

# Prenex Fragments (Logically Equivalence)

Logically Equivalent Prenex Normal Forms					
	without $ riangle$		with $\triangle$		
Gödel set V	1-valid	> 0-valid	1-valid	> 0-valid	
finite	$\checkmark$	<b>✓</b>	$\checkmark$	<b>✓</b>	
G↑	$\checkmark$	<b>✓</b>	×	×	
Count. without $G_{\uparrow}$	×	×	×	×	
0 isolated	×	<b>✓</b>	×	×	
0 not isolated	×	×	×	×	

Prenexation fails for  $G_{[0,1]}$  when 0 is not isolated:

$$\neg \forall x A(x) \land \forall x \neg \neg A(x)$$

The same holds for all Gödel logics where there is only one cumulation point from above.



#### Possible truth value sets

#### Perfect set

A set  $P \subseteq \mathbb{R}$  is perfect if it is closed and all its points are limit points in P.

#### Cantor-Bendixon

Any closed  $V \subseteq \mathbb{R}$  can be uniquely written as  $V = P \cup C$ , with P a perfect subset of V and C countable and open.

## Examples for perfect sets

- [0, 1], any closed interval, any finite union of closed intervals
- Cantor Middle Third set  $\mathbb{C}$ : all numbers of [0,1] that do not have a 1 in the triadic notation (cut out all open middle intervals recursively) (perfect but nowhere dense)

# Full characterization of Axiomatizability

## Theorem (Trakhtenbrot, 1950)

The set of first-order sentences that are valid in all finite models is not recursively enumerable.

Similarly, the validity in first-order Gödel logic is characterized by the following theorem.

## Theorem (Baaz, Preining)

A first-order Gödel logic  $G_V$  is recursively enumerable iff one of the following conditions is satisfied:

- 1. V is finite,
- 2. V is uncountable and 0 is an isolated point,
- 3. V is uncountable, and every neighbourhood of 0 is in the prefect subset.

# Incompleteness of First-order Goedel Logics

## Not recursively enumerable

- countably infinite truth value set
- every neighbourhood of 0 is countably infinite

(Preining - PhD; Baaz, Preining, Zach 2007)

# Prenex Fragments (Validity Equivalence)

Validity Equivalent Prenex Normal Forms						
Gödel set <i>V</i>			without △		with $ riangle$	
Finite			$\checkmark$		$\checkmark$	
Uncountable	0 isolated		$\checkmark$		$\checkmark$	
	0 is in perfect set		<b>√</b>		$\checkmark$	
	0 not in perfect set		×		×	
Countable	Countable Open					

- Prenex fragment in the uncountable case is always r. e. as derivability in  $\mathbf{G}_{[0,1]}$  can be expressed by Kleene's T (putting double negation in front of all atoms and shifting the quantifiers in the classical way).
- Prenex fragments without references to 0 ( $\perp$ -free) are r.e. iff it is uncountable

#### Theorem

The prenex fragment of  $\mathbf{G}_V$  is r.e. if and only if V is finite or uncountable. The prenex fragments of any two  $\mathbf{G}_V$  where V is uncountable coincide.

**Semantic Core: Skolemization** 

#### Skolemization

- Skolemization enables decidability in BS class.
- Validity and 1-satisfiability in BS class are decidable for all Gödel logics

## Lemma (Skolemization preserves validity)

For all prenex formulas  $Q\bar{x}A(\bar{x})$  and all Gödel logics G

$$\Gamma \Vdash_G Q\bar{x}A(\bar{x}) \Longleftrightarrow \Gamma \Vdash_G (Q\bar{x}A(\bar{x}))^S$$

where  $Q\bar{x}$  is a quantifier prefix and  $A(\bar{x})$  is a quantifier-free formula.

#### Proof.

It is sufficient to prove with A arbitrary and f a new function:

$$\Gamma \Vdash_{G} \exists \overline{x} \forall y A(\overline{x}, y) \Leftrightarrow \Gamma \Vdash_{G} \exists \overline{x} A(\overline{x}, f(\overline{x})).$$

It follows then from induction.  $(\Rightarrow)$  The direction from left to right is obvious.

 $(\Leftarrow)$  For the other direction, if  $\mathbb{F}_G \exists \overline{x} \forall y A(\overline{x}, y)$  then for some interpretation  $\mathcal{I}$ 

$$\sup\{d_{\overline{c}} \mid \mathcal{I}(\forall y A(\overline{c}, y)) = d_{\overline{c}}\} \leq d < 1.$$

Using the axiom of choice we can assign a value for every  $f(\overline{c})$  such that  $\mathcal{I}(A(\overline{c}, f(\overline{c})))$  is in between  $d_{\overline{c}}$  and  $d_{\overline{c}} + \frac{1-d}{2}$ . As a consequence

$$\sup\{d_{\overline{c}} + \frac{1-d}{2} \mid \mathcal{I}(A(\overline{c}, f(\overline{c}))) \leq d_{\overline{c}} + \frac{1-d}{2}\} \leq d + \frac{1-d}{2} < 1$$

and thus  $\Gamma \not\Vdash_G \exists \overline{x} A(\overline{x}, f(\overline{x}))$ .



#### **BS** class

#### Theorem

Validity in Berneys-Schönfinkel (BS) class is decidable for all Gödel logics.

#### Proof.

from above lemma follows

• Key transformation:  $\forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y}) \rightsquigarrow \exists \bar{y} A(\bar{c}, \bar{y})$ 

$$\Vdash_G \forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y}) \Longleftrightarrow \Vdash_G \exists \bar{y} A(\bar{c}, \bar{y})$$

for new constants  $\bar{c}$ . (This is the core - "Skolemization step" - validity reduces to existential formulas with constants only.)

- After this, validity becomes a decidable problem for BS class.
- Use constant domain countermodels to check validity Suppose there is a countermodel M such that  $M \nVdash_G \exists \bar{y} A(\bar{c}, \bar{y})$ . Then there is also a countermodel M' such that  $M' \nVdash_G \exists \bar{y} A(\bar{c}, \bar{y})$  where the domain of M' contains only interpretations of  $\bar{c}$ .



## Corollary

- 1) Let  $\exists \bar{y} A(\bar{y})$  contain only constants  $\bar{c}$ , then Herbrand's theorem holds for  $\exists \bar{y} A(\bar{y})$  for all Gödel logics G.
- 2) Let  $\forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y})$  prenex formulas contain only constants  $\bar{d}$ , then  $\Vdash_G \forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y}) \iff \vdash_{G'} \forall \bar{x} \exists \bar{y} A(\bar{x}, \bar{y})$  for all infinitely-valued Gödel logics G, G'.

#### Proof.

- 1) According to the proof of the above theorem,  $M \nVdash_G \exists \bar{y} A(\bar{c}, \bar{y})$  implies  $M' \nVdash_G \exists \bar{y} A(\bar{c}, \bar{y})$  with restricted domain to constants only.
- 2) follows from 1), as Herbrand disjunction is contained in  $\bigvee_n A(\bar{c}_n, \bar{d}_n)$  where  $\bar{c}_n, \bar{d}_n$  are possible variations of  $\bar{c}, \bar{d}$  and validity for propositional formulas coincides with infinitely-valued Gödel logics.

#### Remark

Note that 1) is not trivial as prenex formulas and consequently  $\exists$ -formulas (see. Skolemization Lemma ) for countable Gödel logics are not r.e.

# 1-satisfibility: Gluing Lemma

## Lemma (Gluing lemma)

Let  $\mathcal I$  be an interpretation into  $V\subseteq [0,1]$ . Let us fix a value  $\omega\in [0,1]$  and define

$$\mathcal{I}_{\omega}(\mathcal{P}) = egin{cases} \mathcal{I}(\mathcal{P}) & \textit{if } \mathcal{I}(\mathcal{P}) \leq \omega, \ 1 & \textit{otherwise} \end{cases}$$

for atomic formula  $\mathcal P$  in  $\mathcal L^{\mathcal I}$ . Then  $\mathcal I_\omega$  is an interpretation into V such that

$$\mathcal{I}_{\omega}(\mathcal{B}) = egin{cases} \mathcal{I}(\mathcal{B}) & \textit{if } \mathcal{I}(\mathcal{B}) \leq \omega, \ 1 & \textit{otherwise} \end{cases}$$

#### Theorem

1-satisfiability in first-order Gödel logics coincides with classical satisfiability iff 0 is isolated.

#### Proposition

In the following cases, 1-satisfiability in Gödel logics is classical satisfiability:

- 1) In the propositional case
- 2) In the first-order case, where the truth value set is arbitrary but 0 is isolated
- 3) The prenex fragment for any truth value set
- 4) The existential fragments for any truth value set
- 5) The  $\perp$  free fragment is  $G_{[0,1]}$  1-satisfiable iff it is classical satisfiable.

#### Theorem

1-satisfiability in Berneys-Schönfinkel class is decidable for all Gödel logics.

#### Proof.

The proof is obvious as 1-satisfiability coincides with classical satisfiability and, therefore, is decidable.  $\Box$ 

#### Corollary

1-satisfiability of monadic fragments is always decidable if 0 is isolated.

#### Remark

- All Gödel logics coincide for the BS class w.r.t. 1-satisfiability, but only the infinitely valued Gödel logics coincide for the BS class w.r.t. to validity.
- The BS fragment of any infinitely-valued Gödel logic is the intersection of the BS fragments of the finitely-valued Gödel logic, both for satisfiability and validity.

## Conclusion

Fragment	Skolemizable	r.e.	Decidable
First-order Gödel Logic	no	no	no
Prenex	yes	sometimes	not known
BS Class	yes	yes	yes
Monadic finite	not known	yes	yes
Monadic infinite	not known	not known	no

#### Conclusion

Fragment	Skolemizable	r.e.	Decidable
First-order Gödel Logic	no	no	no
Prenex	yes	sometimes	not known
BS Class	yes	yes	yes
Monadic finite	not known	yes	yes
Monadic infinite	not known	not known	no

- All prenex intermediate logics admit Skolemization and BS class of these logics are decidable.
- Ackermann class is also decidable.
- Study other fragments: existential, monadic ...
- ullet What happens when  $\triangle$  is present.
- Study decidable classes of non R.E. Gödel logics as for  $G_{\uparrow}$  is the intersection of all finite Gödel logics and  $G_{\downarrow}$  relates to temporal logics.

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Thank you!